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### **Review Article**

### Safety and Efficacy of Liposomal Amphotericin B as Empirical Therapy in Invasive Fungal Diseases: A Narrative Review

### Methee Chayakulkeeree<sup>1\*</sup>, Senthur Nambi<sup>2</sup>, Rasmi Palassery<sup>3</sup> and Biju George<sup>4</sup>

<sup>1</sup>Department of Medicine, Mahidol University, Bangkok, Thailand <sup>2</sup>Department of Infectious Diseases, Apollo Hospitals, Chennai, India <sup>3</sup>Department of Medical Oncology, MS Ramaiah Medical College, Karnataka, India <sup>4</sup>Department of Hematology, Christian Medical College, Vellore, India

\*Corresponding author: Methee Chayakulkeeree, Department of Medicine, Mahidol University, Bangkok, Thailand, Tel: +662-419-9462; E-mail: methee.cha@mahidol.ac.th

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### Abstract

Invasive Fungal Diseases (IFDs) have been considered a critical cause of morbidity and mortality among patients with febrile neutropenia receiving hematopoietic stem cell transplant and/or chemotherapy for cancer treatment. Toxicity concerns and adverse events are significant challenges associated with administering conventional Amphotericin B (c-AmB). Therefore, lipid and liposomal formulations of amphotericin B are effective, well-tolerated and remain the standard of care in treating IFDs. These formulations are reported to exhibit a broad antifungal spectrum, low resistance and reduced toxicity. Empirical therapy with Liposomal Amphotericin B (L-AmB) has been found to enhance efficacy and lower toxicity and is recommended in neutropenic patients with different conditions like cancer and transplant. Additionally, L-AmB has demonstrated better efficacy and safety as compared to other antifungal agents like caspofungin, amphotericin B lipid complex and c-AmB, thus providing an added advantage over these agents.

Currently, studies published in the literature mostly focus on the efficacy of L-AmB for a single clinical condition. In most published studies, L-AmB has been administered in combination with other antifungal agents (either empirically or prophylactically). Moreover, studies exclusively focusing on the benefit of empirical therapy with L-AmB for treating IFD in patients with various conditions are not available. Therefore, this review collates and highlights the efficacy and safety of L-AmB exclusively as empirical therapy in treating IFD in patients with conditions including cancer and transplant patients with neutropenia.

Keywords: В; Liposomal Amphotericin Empirical therapy; Invasive fungal disease; Febrile neutropenia; Hematological malignancy; Transplant; Safety; Efficacy

### Introduction

Fungal diseases impact more than one billion people, with mortality in more than 1.5 million individuals globally [1]. Invasive Fungal Disease (IFD) has been regarded as one of the prevalent nosocomial infections that cause invasive diseases [2]. According to GAFFI, every year, over 300 million people across the globe and of all ages suffer from a serious fungal infection [3]. A 2 years prospective observational multicentric study conducted in 8 Asian countries reported the overall 30 days mortality due to IFDs to be 22.1% [4]. Furthermore, a Thailand based study reported the prevalence of serious fungal infection to be approximately 1.93% (1,254,562) of its population [5]. As per another prospective observational study conducted in India, the epidemiology of IFDs was reported to be 7.6% (253/3300) [6]. However, in India, data on the burden of IFD is found to be limited. The tropical climatic conditions in South East Asia are claimed to be favorable for the growth of various fungal infections such as candida, cryptococcus, rhizopus, mucor and aspergillus, which are regarded as opportunistic pathogens responsible for the manifestation of IFD [7,8].

The incidence of IFDs (e.g., invasive candidiasis) is observed in bloodstream infections or other parts of the body (including lungs, skin, sinuses, heart valves, liver, central nervous system, eyes, spleen or bone) [9]. The occurrence of IFD has been found to be frequent in the past few years, particularly among hospitalized patients. Moreover, in hospitals, immunocompromised patients (such as patients with acquired immunodeficiency syndrome, hematological diseases, malignant tumors, organ transplantation or burns) are reported to be highly susceptible to developing IFD. In addition, IFD is considered a critical cause of morbidity and mortality among patients with Febrile Neutropenia (FN), solid organ transplant, hematopoietic stem cell transplants and several other immunocompromised patients [10-14].

According to the infectious diseases society of American guidelines, the therapies for IFDs include polyenes (amphotericin B deoxycholate, lipid and liposomal formulations of amphotericin B), flucytosine, triazoles (isavuconazole, fluconazole, itraconazole, voriconazole and posaconazole) as well as the echinocandins (anidulafungin, caspofungin and micafungin) [15,16]. However, despite the availability of a wide range of antifungal treatments, high mortality rates have been reported with IFDs. Additionally, resistance has been observed among various fungal species, including some Scedosporium, few Aspergillus molds (Fusarium, species) against some antifungal agent [17].

Conventional amphotericin B (c-AmB; amphotericin В deoxycholate), a broad spectrum polyene antifungal, is generally preferred as an effective antifungal agent against several IFDs and has been used for decades. Additionally, amphotericin B deoxycholate is found to be associated with a low resistance rate as well as good clinical and pharmacological action [18]. However, concerns related to amphotericin B deoxycholate mediated toxicity (nephrotoxicity) have been considered one of the significant challenges. This further led to the development of lipid based amphotericin B. These lipid and liposomal formulations have exhibited a broad antifungal spectrum,



low resistance and reduced nephrotoxicity. Liposomal Amphotericin B (L-AmB; AmBisome) is composed of a phospholipid layer structure three major components (cholesterol, and distearovl phosphatidylglycerol and soy phosphatidylcholine) [19,20]. L-AmB possesses a favorable therapeutic index due to various physicochemical attributes that include the small size of liposomes (<100 nm; facilitates prolonged circulation, thereby aiding the distribution of the drug to various organs), composition releases amphotericin B from the liposome bilayer after coming in contact with the fungus; thus, reducing the adverse effects of amphotericin B on host tissues [21]. Besides, the formulation is reported to be clinically active against molds (such as Aspergillus spp. and Mucorales) and yeasts (such as Candida spp.) and is approved for the treatment of IFDs in many countries worldwide.

The primary antifungal prophylaxis has been beneficial in reducing IFDs; however, breakthroughs IFDs (manifestation of infection during antifungal drug exposure) were reported even after antifungal prophylaxis [22-24]. Manifestation of breakthrough fungal infections is evident when a prophylactic agent is inactive or resistant against the invading fungal pathogen and even in certain instances like intense immunosuppression or low prophylaxis therapy include cost, interference with diagnostic assays (such as the galactomannan assay, resulting in false-negative outcomes), drug toxicity, drug-drug interactions among heavily medicated patients and increased risk of resistance.

Empirical antifungal therapy is preferred as a treatment strategy in patients with various high-risk factors along with established IFDrelated clinical symptoms prior to any microbiological documentation, species identification and antibiotic susceptibility test result. According to a study, the administration of empirical antifungal treatment in patients with a high risk of fungal infection should be considered as there is a close association between treatment initiation delay, clinical outcome and hospital mortality. It is found to be beneficial in decreasing the rate of mortality associated with fungal infections. Therefore, identifying risk factors during the initial stage is regarded as the basis of empirical treatment of fungal infections. Empirical therapy with broad-spectrum antifungal agents is generally considered during the incubation and prodromal stage of the infection to combat treatment delay (as the generation of microbiological test reports requires around 24 to 72 hours). Studies have found empirical antifungal therapy as cost-effective compared to prophylactic

treatment. In addition, empirical antifungal therapy with L-AmB (as a broad-spectrum antifungal agent) has been found to enhance efficacy and lower toxicity.

Currently, studies published in the literature mostly focus on the efficacy of L-AmB for a single clinical condition. In most published studies, L-AmB has been administered in combination with other antifungal agents (either empirically or prophylactically). Moreover, studies exclusively focusing on the benefit of empirical therapy with L-AmB for treating IFD in patients with various conditions are not available. Therefore, this review collates and highlights the efficacy and safety of L-AmB exclusively as empirical therapy in treating IFD in patients with conditions including cancer and transplant patients with neutropenia.

### **Literature Review**

## Efficacy of liposomal amphotericin B in treating IFD in patients with different conditions

**IFD in cancer patients with febrile neutropenia:** Invasive fungal diseases are considered a critical cause of morbidity and mortality among patients with FN receiving hematopoietic stem cell transplants and/or chemotherapy for cancer treatment. In addition, patients with hematological malignancies are usually susceptible to impaired defense mechanisms due to the disease or treatment, making them vulnerable to infection.

Studies depicting the efficacy of L-AmB as empirical therapy for treating IFDs in cancer patients with FN are listed below.

According to a Japan-based prospective study, L-AmB is the drug of choice, demonstrating high therapeutic effect in patients with FN (with baseline blood diseases like acute myeloid or lymphoid leukemia, myelodysplastic syndromes) with an increased risk of fungal infection. In another prospective study, empirical antifungal therapy with L-AmB showed a better response and reduced hospital stay than caspofungin in patients with a high risk of IFD and chemotherapy-induced FN compared to low-risk patients. Similarly, empirical therapy with L-AmB demonstrated broad-spectrum antifungal activity at a low dose (1 mg/kg/day) among high-risk patients (with prolonged FN, hematological malignancies and those undergoing intensive chemotherapy) (Table 1).

Study design and patient population	Treatment regimen	Clinical response
One-arm, prospective, post-marketing observational study (n=399; children (≤ 15 years), adults (16-64 years) and elderly individuals (≥ 65 years))	L-AmB (2.5 mg/kg/d; i.v. infusion)	Overall clinical response rate: 186/399; (46.6%)
		Resolution of fever: 244/399; (61.2%)
		Survival for ≥ 7 days after completion of the therapy: 334/399; (83.7%)
		Absence of breakthrough fungal infections: 395/399 (99.0%)
		Successful treatment of baseline infection: 10/18 patients
		No discontinuation due to toxicity or lack of efficacy 243/399 (60.9%)
A multicentric, open- labeled, single-armed phase II trials (n=80; 16-79 years)	Low dose L-AmB (1 mg/kg/day i.v.)	Treatment success rate: 53/80 (66.3%)
		Treatment completion rate: 59/80 (73.8%)

		Defervescence prior to neutrophil recovery: 61/80 (76.3%) No mortality and IFD in the study period			
A prospective, randomized, controlled trial [n=104; children (≤ 18 years)	L-AmB		L-AmB	Caspofungin	
	(3 mg/kg/d i.v.; n=25)	Complete response rate	0.88	0.839	
	Caspofungin (50 mg/m²/d i.v., loading dose: 70 mg/m²; n=31)	Median hospital stay	18 days	28 days	
A prospective, randomized, double- blind study (n=83; 2-17 years)	L-AmB		L-AmB	Caspofungin	
	(3 mg/kg/d i.v.; n=26)	Overall favorable response*	8/18 (44.4%)	17/41 (41.5%)	
	Caspofungin (70 mg/m²/d i.v.; n=56)				
A double-blind, randomized study (n=244; mean age: 42.0 ± 20.4 years)	L-AmB		L-AmB (3 mg/kg/d)	L-AmB (5 mg/kg/d)	ABLC
	(3 mg/kg/d; n=85 and 5 mg/kg/d; n=81)	A successful response to treatment	34/85 (40%)	34/81 (42%)	26/78 (33.3%)
	ABLC (5 mg/kg/d; n=78)	Premature discontinuation of treatment	11/85 (12.9%)a	10/81 (12.3%)a	25/78 (32.1%)
An open, randomized, comparative, multicenter trial (n=106; - 18-74 years)	(L-AmB) AmBisome (5 mg/kg/d; n=32, i.v.)		L-AmB	c-AmB	
		Complete response (p=0.03)	14/32 patients	6/34 patients	
	c-AmB (1 mg/kg/d; n=34, i.v.)	Failure	11/32 patients	15/34 patients	

i.v: Intravenous; IFD: Invasive fungal diseases; L-AmB: Liposomal Amphotericin B; c-AmB: Amphotericin B deoxycholate; d: Day. \*Based on the risk score for invasive fungal infections (Categories: Acute myeloid leukemia, presence of central venous line, intravenous antibiotic therapy, relapsed acute lymphoblastic leukemia, steroid therapy, duration of neutropenia, autologous hematopoietic stem cell transplantation and graft source, mucositis). \* $p \le 0.01$  for L-AmB vs. ABLC by Fisher's exact test. \*Patients who had fungal infection prior to L-AMB

Table 1: Efficacy outcomes of liposomal amphotericin B as empirical therapy in treating IFD in cancer patients with febrile neutropenia.

Comparative trials reported in the literature have also demonstrated the efficacy of L-AmB as empirical therapy over other antifungal drugs in treating IFD. A prospective study including children with persistent fever and neutropenia (receiving chemotherapy for cancer or had hematopoietic Stem Cell Transplantation (SCT)) showed an overall favorable treatment response within the L-AmB-treated group as compared to the caspofungin-treated group (Table 1).

According to another comparative trial, the incidence of a successful response to empirical therapy with L-AmB was higher compared to Amphotericin B Lipid Complex (ABLC) in treating suspected fungal infection in patients with FN (in both Bone Marrow Transplant (BMT) and non-BMT patients (solid tumor, acute leukemia, lymphoma, myelodysplasia, multiple myeloma)). In addition, a significantly high proportion of patients treated with ABLC prematurely discontinued the therapy compared to patients treated with L-AmB therapy.

In another comparative, multicenter trial, patients (neutropenic with documented or suspected IFDs) treated with L-AmB (AmBisome) demonstrated better responses than patients treated with c-AmB. In patients with documented infections (p=0.05) and pulmonary aspergillosis (p=0.096), a favorable trend in response to the therapy

with L-AmB was observed on day 14. Also, the mortality rates were lower in patients treated with L-AmB (based on the malignancy status, p=0.03) compared to c-AmB.

IFD in transplant patients with neutropenia: Increased risk of fungal infections contributes to morbidity and mortality amongst transplant recipients. Immunosuppression, extended neutropenia and graft vs host disease in patients undergoing transplantation augment the incidence of fungal infections. Several studies have reported the efficacy of L-AmB as empirical therapy for fungal infection in transplant recipients.

A study conducted by Kruger, on 115 patients (with autologous or allogeneic bone marrow or peripheral blood SCT) reported L-AmB (empirical therapy) as an effective antimycotic therapy.

A Japan based retrospective study reported that low dose L-AmB (median daily dose of 1.2 mg/kg/day) could be effective as empirical therapy in patients with FN undergoing cord blood transplantation. Empirical antifungal therapy with L-AmB was also effective for treating persistent FN in patients undergoing allogeneic SCT. The study reported a higher overall success rate with fewer breakthrough infections and resolution of fever during neutropenia with empirical L-AmB treatment (Table 2).

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Study design and patient population	Treatment regimen	Clinical response Resolution of fever during neutropenia: 9/15 (60%)			
A single-center retrospective study (n=48; 17-68 years)	L-AmB (median daily dose 1.2 mg/kg/day i.v.; n=15)				
n=134	L-AmB (n=61)		L-AmB	Caspofungin	
	Caspofungin (n=73)	Breakthrough infections observed	4/61 (6%)	11/73 (15%)	
	-	Resolution of fever during neutropenia	40/61 (66%)	41/73 (56%)	
	-	Overall success rate	28/61 (46%)	24/73 (33%)	

Table 2: Efficacy outcomes of liposomal amphotericin B as empirical therapy in treating IFD in transplant patients.

### Discussion

# Safety and tolerability of Liposomal Amphotericin B in treating IFD in patients with different conditions

The safety and tolerability of L-AmB as empirical therapy in treating IFD among immunocompromised patients with neutropenia has been widely studied and reported in the literature.

A Japan based study was conducted on dose specific groups (<2.5 mg/kg/d, 2.5 mg/kg/d and >2.5 mg/kg/d) of patients with FN. The study outcome related to the safety information of L-AmB in the 3 groups is provided in Table 3.

Patient population	Treatment regimen	Clinical outcome			
n=399	L-AmB (2.5 mg/kg/d; i.v. infusion)	No discontinuation due to toxicity or lack of efficacy in the dose specific groups are listed below			
Patients with FN (with baseline blood diseases like acute myeloid or lymphoid leukemia, myelodysplastic syndromes, etc.)		<2.5 mg/kg/d: 91/153 (59.5%)			
		2.5 mg/kg/d: 43/61 (70.5%)			
	-	>2.5 mg/kg/d: 109/184 (59.2%)			
n=30	L-AmB	Infusion-related adverse drug events (mainly rigors/chills)			
Patients with hematological malignancies and persistent FN	( <b>Group A</b> : high intermittent dose regimen: 10 mg/kg on day 1, 5 mg/kg on days 3 and 6)	High dose: 11/45 (24%) infusions			
		Standard dose: 12/201 (6%) infusions (p=0.002)			
	( <b>Group B</b> : Standard dose: 3 mg/kg/d for 14 days)	Total episodes of hypokalemia			
		<b>Group A:</b> 57/143 (39% daily blood samples)			
	-	<b>Group B:</b> 80/137 (58% daily blood samples) (p=0.21)			
n=687	L-AmB		L-AmB	Conventional AmB	
Patients with persistent fever and neutropenia who had	( <b>mean daily dose:</b> 3.0 ± 0.9 mg/kg; n=343)	Serum creatinine during therapy (Nephrotoxic effects)			
undergone bone marrow or peripheral-blood stem-cell transplantation or undergoing	Conventional AmB ( <b>mean daily dose:</b> 0.6 ± 0.2- mg/kg; n=344)	1.5 times baseline value	101/343 (29.4%)	170/344 (49.4%)	
chemotherapy		2.0 times baseline value	64/343 (18.7%)	116/344 (33.7%)	
		3.0 times baseline value	28/343 (8.2%)	57/344 (16.6%)	
		Hypokalemia	23/343 (6.7%)	40/344 (11.6%)	
	-	Infusion-related reactions			
		Fever following infusion (increase of ≥ 1.0°C)	58/343 (16.9%)	150/344 (43.6%)	
	-	Chills or rigors	63/343 (18.4%)	187/344 (54.4%)	
	-	Other reactions	57/343 (16.6%)	82/344 (23.8%)	

\*p<0.001 for the comparison with the patients receiving liposomal amphotericin B;  $\# p \le 0.05$  for the comparison with the patients receiving liposomal amphotericin B,  $\dagger p \le 0.001$  for the comparison with the patients receiving liposomal amphotericin B; and Other reactions included dyspnea, hypotension, hypertension, tachycardia, diaphoresis, and flushing. L-AmB: Liposomal amphotericin B; FN: Febrile neutropenia; d: Day; AmB: Amphotericin B; i.v. Intravenous.

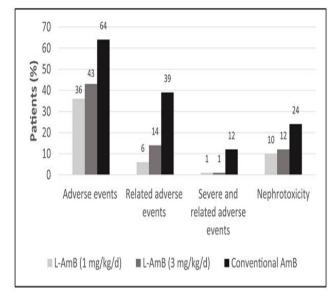
Table 3: Safety outcomes of liposomal amphotericin B as empirical therapy in treating IFD in cancer and transplant patients with febrile neutropenia.

According to a randomized, double blind, multicenter trial conducted by Walsh, et al. L-AmB can be considered a suitable alternative to c-AmB as empirical treatment for neutropenic patients with bone marrow or SCT undergoing chemotherapy. This study demonstrated reduced Infusion Related Reactions (IRRs), nephrotoxic effects and hypokalemia.

Intermittent therapy with high dose L-AmB (as empirical therapy) has been reported to be as safe and tolerable as the standard lower dose over an extended period in patients with persistent FN as per a pilot exploratory study. In addition, the study reported fewer episodes of hypokalemia in patients administered with intermittent high doses compared to the standard dose. Infusion-related adverse drug events exhibited a higher trend in patients administered with a high dose of

L-AmB; however, no patient reported discontinuation of L-AmB therapy due to toxicity.

L-AmB with a dosage of 1 or 3 mg/kg/d has also been reported to be safer compared to the c-AmB in adults and children with pyrexia of unknown origin and neutropenia (few patients had hematological malignancies and fungal infection) in two open-label randomized multicenter trials. Patients treated with c-AmB experienced significantly more adverse events, nephrotoxicity and allergic reactions (c-AmB: 2% vs. L-AmB (1 mg/kg/d): 0.6% and L-AmB (3 mg/kg/d): 0.8% of the total number of doses) than patients treated empirically with L-AmB (p<0.01) (Figure 1).



**Figure 1:** Comparison of L-AmB and conventional AmB treated patients demonstrating adverse events and nephrotoxicity.

According to a randomized, double-blind comparative study, L-AmB as empirical therapy is reported to exhibit a superior safety profile and better tolerance than ABLC in patients with FN (including a few patients with both BMT and non-BMT) with suspected fungal infection. Further, the study reported a significantly lower frequency of IRRs (chills/rigors, fever and other reactions) on day 1 (Figure 2) and less nephrotoxicity (Figure 3) after the empirical treatment with L-AmB (3 mg/kg/d and 5 mg/kg/d) as compared to ABLC (5 mg/kg/d).

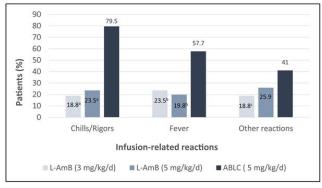


Figure 2: Comparison of L-AmB and ABLC treated patients demonstrating IRRs.

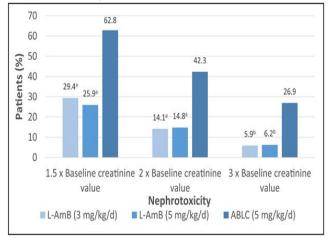


Figure 3: Comparison of L-AmB and ABLC treated patients demonstrating nephrotoxicity.

### Conclusion

The Liposomal formulation of Amphotericin B (L-AmB) has represented a significant advancement in the drug delivery process. In view of the published literature, L-AmB is found to be safe and effective in treating IFDs empirically in patients with different underlying conditions (cancer and transplant). Patients successfully treated with the empirical treatment of L-AmB demonstrated high therapeutic effect, fewer breakthrough infections and resolution of fever. Furthermore, empirical therapy with L-AmB exhibited reduced adverse reactions, infusion related reactions, nephrotoxicity and hypokalemia. Therefore, based on this review article, L-AmB can be considered an effective and well tolerated empirical therapy in treating IFDs in febrile neutropenic patients with hematological malignancies and patients undergoing transplantation.

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### **Conflict of interest**

There is no conflict of interest between the authors.

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